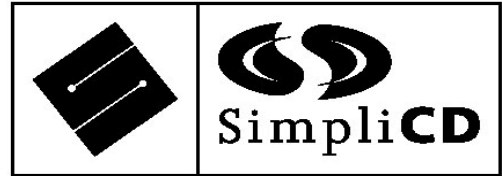


SimpliCD Sign Up Procedures

For assistance, please contact a SimpliCD Specialist at 214.703.7854 or email simpliCD@swcorp.org



Checklist

All forms are available on the corporate website at www.swcorp.org/SimpliCD_Forms.asp

| Action | Complete | Date |
|---|----------|------|
| <u>Custodial Agreement</u> | | |
| Printed | | |
| Completed | | |
| Faxed to 214.703.7918 | | |
| Mailed Original to Southwest Corporate FCU | | |
| <u>Credit Union Contact Information</u> | | |
| Printed | | |
| Completed | | |
| Faxed to 214.703.7918 | | |
| <u>National Automated Settlement Agreement Form</u> | | |
| Printed | | |
| Completed | | |
| Faxed to 214.703.7918 | | |
| Mailed Originals to Southwest Corporate FCU | | |
| <u>Corporate Resolution</u> | | |
| Printed | | |
| Completed | | |
| Faxed to 214.703.7918 | | |
| Mailed Originals to Southwest Corporate FCU | | |
| <u>On Own List</u> | | |
| Printed | | |
| Completed* | | |
| Faxed to 214.703.7918 | | |
| Mailed Originals to Southwest Corporate FCU | | |
| | | |
| | | |
| | | |
| * The on own list shows your portfolio of current holdings. This form should include the following for each of your current investments: | | |
| Insurance Number | | |
| Company name, issuing institution | | |
| State | | |
| City | | |
| Maturity date | | |
| Investment Amount | | |
| | | |
| | | |
| <i>Providing Southwest Corporate with the above information outlined above is important to assist our SimpliCD experts in tracking your future investments.</i> | | |
| <i>It will assist us in preventing placement of funds in the same institution you may have already invested with.</i> | | |
| | | |